

Application for Empanelment in  
**Indian Port Rail & Ropeway  
Corporation Limited:**

Name of the Category Applied For:

Name and complete Address of Firm:

**DISCLAIMER**

1. The information contained in this Request for Empanelment Document (hereinafter known as "RFE Document") or subsequently provided to Bidders/in documentary form by or on behalf of Indian Port Rail & Ropeway Corporation Limited (IPRCL) or any of their representatives, employees or advisors (collectively referred to as "Representatives"), is provided to Bidder(s) on the terms and conditions set out in this RFE Document and any other terms and conditions subject to which such information is provided.
2. This RFE Document is not an agreement and is not an offer or invitation by the Representative(s) to any party other than the entities, who are qualified to submit their Proposal ("Bid"). The purpose of this RFE Document is to provide the Bidder with information to assist the formulation of their Proposal. This RFE Document does not purport to contain all the information each Bidder may require. This RFE Document may not be appropriate for all persons, and it is not possible for IPRCL Representatives to consider the investment objectives, financial situation and needs of each party who reads or uses this RFE Document. Each Bidder should conduct their own investigations and analysis and should check the accuracy, reliability and completeness of the information in this RFE Document and wherever necessary, obtain independent advice from appropriate sources.
3. The Representatives make no representation or warranty and shall incur no liability under any law, statute, rules or regulations as to the accuracy, reliability or completeness of the RFE Document.
4. The Representatives may in their absolute discretion, but without being under any obligation to do so, update, amend or supplement the information in this RFE Document.

**INDIAN PORT RAIL & ROPEWAY CORPORATION LIMITED  
CORPORATE OFFICE- MUMBAI**

**NOTICE FOR REQUEST FOR EMPANELMENT (RFE)**

No.  
dated.04.12.2025

GEN/2/2025-PC

Indian Port Rail & Ropeway Corporation Limited (IPRCL) is a Company under the Ministry of Ports, Shipping and Waterways, Government of India. The company was registered on 10<sup>th</sup> July 2015 as a Public Limited Company under the Companies Act, 2013. The applications are invited for Expression Of Interest -**RFE** (Open Ended) **Empanelment** from experienced and resourceful **Engineering** Consultants for **Consultancy** works viz Feasibility Study Report (**FSR**), Detailed Project Report (**DPR**), Detailed Design Consultancy (**DDC**) & Construction agencies for **Project Management Consultancy (PMC)** and Contractors for **Project Monitoring and Execution** for **projects** of **varied** nature and for Organizing and **Managing Events** from **Conception** to **Completion** stage. The consultants / agencies should meet the minimum qualifying criteria mentioned in RFE Document for getting empaneled.

The **categories** of **empanelment** are as under:

<b>SN</b>	<b>CATEGORY</b>	<b>DESCRIPTION</b>	<b>DEFINITION OF SIMILAR WORKS</b>
<b>SURVEY WORK</b>			
1	EC-1	Conducting RETS (Reconnaissance Engineering cum Traffic Survey) /Preliminary Engineering -cum-Traffic survey/ Feasibility Study Report/ Final Location Survey / Detailed Design Consultancy	Engineering Survey of Railway line /Highways etc.
2	EC-2	Execution of geo-technical survey/soil exploration work.	Any Geo-technical investigation works.
3	EC-3	Architectural/structural design consultancy works	Consultancy works involving architectural and / or design of structures
<b>TRACK WORKS</b>			

4	EC-4	All Track related works viz. construction of new railway sidings, Complete Track Renewal (CTR), Through Rail Renewal (TRR), Through sleeper Renewal (TSR) , Upgradation of Track, Track Maintenance, Execution of P.Way linking works (involving or not involving working in NI period) or Yard remodeling works (involving or not involving working in NI period) with or without other allied works, such as Welding of rails by Alumino Thermit Welding technique, Fabrication and fixing of 60 kg/52 kg glued insulated rail joints in situ etc.	Any Track Work
<b>BUILDING WORKS</b>			
5	EC-5	Building/quarters upto 3 storied building (G+2) including electrification.	Any Civil Engineering Work
6	EC-6	Multi-storied buildings more than 3 stories (G+3) including electrification.	Civil Engineering works involving multistoried RCC/PSC framed structure buildings having 4 or more floors.
<b>MARINE STRUCTURES</b>			
7	EC-7	Marine Works	Any work (other than dredging activities) including construction and maintenance works being carried out over marine waters, piers, floating docks, jetties, breakwaters, offshore platforms, submarine, foreshore areas, offshore platforms, moorings, pipelines, cables, wharves, bridges, tunnels, rubble mound breakwaters and docks & similar construction
<b>EXECUTION OF HIGHWAYS &amp; ROAD WORKS</b>			

8	EC-8	<p>(a) Construction of the Project Highway on the Site in conformity with the Specifications and Standards.</p> <p>(b) performance and fulfilment of all other obligations of the Contractor in accordance with the provisions of this Agreement and matters incidental thereto or necessary for the performance of any or all of the obligations of the Contractor under Contract Agreement.</p> <p>(as per revised EPC guidelines issued by Ministry of Road Transport &amp; Highway- MORTH)</p>	<p>Similar nature of work executed for Highways, Expressways/Roads (For Roads other than Highways).</p>
---	------	--	---

<b>ELECTRICAL WORKS</b>			
9	EC-9	<p>All General Electrical works related to:-</p> <ol style="list-style-type: none"> <li>1. Construction of Electrical LT/HT substation</li> <li>2. Street Lighting/High Mast</li> <li>3. Wiring work of the building.</li> <li>4. Construction of LT/HT overhead line/ underground cabling works with pole/towers.</li> <li>5. Supply, Installation, Commissioning of DG set of Various capacity with AMF panel.</li> </ol>	<p>Supply. Erection, Testing and Commissioning of similar general electrical work.</p>

		6. Underground Cable Laying works. 7. Supply and Installation of Air Conditioning System HVAC/VRF etc type.	
10	EC-10	Railway Electrification Works including OHE, PSI etc	Design, Supply, Erection, Testing and Commissioning of 25 KV 50 HZ, Single Phase Traction Over Head Equipment
11	EC-11	Maintenance of OHE, PSI and General electrical Work	Supply, Erection, Testing and Commissioning of 25 KV 50 HZ, Single Phase Traction Over Head Equipment OR Maintenance of OHE and PSI
12	EC-12	Construction of Renewable Energy system such as :- 1) Solar Energy system. 2) Wind Energy System.	Supply, Installation and Commissioning of Renewable Energy work such as Wind/Solar.

**RAILWAY SIGNALING WORKS**

**A. SIGNALING WORK ( CONSTRUCTION WORK)**

13	EC-13	Signalling work- Construction work	Firms should have completed Indoor and outdoor Railway Signaling works pertaining to commissioning of EI/PI/RRI, Automatic Signaling, yard remodeling along with alteration in EI/PI/RRI, Outdoor signaling works like Cable laying, Installation Testing and commissioning of MSDAC, BPAC, DC track circuit, Commissioning of Outdoor Signals.
----	-------	---------------------------------------	---

**B. MAINTENANCE OF INDOOR AND OUTDOOR SIGNALING GEARS**

14	EC-14	Signaling work- Maintenance work	The Firms should have minimum two-year experience in maintenance of Indoor and Outdoor Signaling gears of Railways.
----	-------	-------------------------------------	---

**C. OPERATION OF SIGNALING GEARS**

15	EC-15	Operation of Signalling gears	The Firms should have minimum two-year experience in operation of ASM Panel and Outdoor Signaling gears for the purpose of train operation/ Shunting movement on Railways.
<b>ROPEWAY WORKS</b>			
16	EC-16	Consultancy Assignments for Ropeway Works	At least two Detailed Project Report (DPR) of ropeway including Detailed Engineering for empanelment in Class A and at least one Detailed Project Report (DPR) of ropeway including Detailed Engineering for empanelment in Class B.  Similar requirement for getting empanelled in Class A or Class B for Bid Process Management, PMC, third party inspections, safety certification
17	EC-17	Execution/O&M of projects .	Execution of at least one PPP or one EPC ropeway project
<b>LOCO</b>			
18	EC-18	Diesel Loco	1. Operations & Maintenance of Diesel Locos. 2. Wet-Lease of Diesel Locos.
<b>EVENT MANAGEMENT</b>			
19	EC-19	Organizing and Managing Events from Conception to Completion Stage anywhere in India or abroad.	Executing of Government events related to inauguration, dedication of projects to the Nation, foundation stone laying functions, visits of dignitaries, Roadshows, conclaves, Seminars etc from concept to commissioning anywhere in India or abroad.
20	EC-20	Social Media Management	Experience in Social Media Management.
21	EC 21	Digitization, AI, ML, security system, CCTV, Digital twin, Digital Harbor / area management and operation system etc.	Experience of similar nature works.

<b>SN</b>	<b>Event Description/ Milestones</b>	<b>Date and Time</b>
1	Invitation of EOI	02.12.2025
2	Last date of submission of EOI	05.01.2026 up to 15:00 Hrs
3	Physical Submission of Bid Security	On or before the deadline of submission of EOI i.e. up to 05.01.2026, 15:00 Hrs
4	Opening of EOI	05.01.2026 at 15:30 Hrs

## TERMS AND CONDITIONS FOR EMPANELMENT

- 1.0 The **empaneled of** consultant organizations & **Construction** agencies shall be initially valid for **THREE** years. However, IPRCL reserves the right to extend or reduce this period at its sole discretion. IPRCL shall discontinue the empanelment for non-performing or for any other reason of any agency before this period.
- 2.0 Application complete in all respects should reach to : **Group General Manager** (HQ) 4<sup>th</sup> floor, Nirman Bhavan, MbPA Building, M. P. Road, Mazgaon(E), Mumbai-400010 or mailed to **tenders.iprcl@gmail.com** (Hard copy shall in any case be required to be submitted). Incomplete application / application not meeting the eligibility criteria or not accompanied with the proof of payment of requisite processing fee and security deposit, shall be summarily rejected. IPRCL reserves the right to call for clarification if needed at its own discretion and applicant will not have any claim on this ground of any nature.
- 3.0 IPRCL reserves the right to reject any or all the applications or limit the short list to any number or annul this process without assigning any reason whatsoever. The consultants & agencies desirous of applying for more than one category can apply with single Registration Fee only.
- 4.0 A consultant empaneled for higher Group (monetary limit) is also eligible for participation in tenders of lower Groups (monetary limits) for the same Category.
- 5.0 Any corrigendum / addendum / errata in respect of the above empanelment shall be made available only at our official web site [www.iprcl.in](http://www.iprcl.in) only. Hence prospective applicants are advised to visit IPRCL's web site regularly for above purpose.
- 6.0 The applicants may apply for empanelment in any number of zones from the zones mentioned below but separate refundable (interest free) security deposit of **Rs.50,000/-** shall be deposited for each zone. Security deposit shall be refunded at the time of termination/ withdrawal of empanelment by the applicant.

A	<b>Zone A</b>	MAHARASHTRA, GOA, GUJARAT, MP
B	<b>Zone B</b>	BIHAR, WEST BENGAL, ORISSA, CHATTISGARH, JHARKHAND, NORTH EASTERN STATES
C	<b>Zone C</b>	TAMILNADU, TELANGANA, KERALA, KARNATAKA, PUDUCHERRY, ANDAMAN & NICOBAR ISLAND, ANDHRA PRADESH, LAKSHWADEEP
D	<b>Zone D</b>	UP, UTTARAKHAND, HP, NCR, HARYANA, PUNJAB, J&K, LADHAKH

**7.0 Class of Empanelment:**

SN	Class	Tendering Limit (INR)
<b>Consultancy Works</b>		
1	Class-A	25 Crore
2	Class-B	10 Crore
3	Class-C	5 Crore
4	Class-D	2 Crore
<b>Construction Works</b>		
1	Class-A	500 Crore
2	Class-B	200 Crore
3	Class-C	100 Crore
4	Class-D	50 Crore
5	Class-E	20 Crore

**8.0 Registration Fee for Empanelment (Non-Refundable):**

SN	Class	Non-Refundable Fee	For Further Renewal
<b>CONSULTANCY</b>			
1	Class-A	Rs.10,000/- plus 18% GST	Rs.5,000/- plus 18% GST
2	Class-B		
3	Class-C		
4	Class-D		
<b>CONSTRUCTION</b>			
1	Class-A	Rs.20,000/- Plus 18% GST	Rs.10,000/- Plus 18% GST
2	Class-B		
3	Class-C		
4	Class-D		
5	Class-E		

8.1 Proof of payment of Registration Fee in the form of DD/BG/NEFT/RTGS to be submitted along with RFE by the applicant for empanelment. The application will be **summarily rejected** if Registration Fee is not paid received on or before stipulated date & time.

8.2 **IPRCL office Address Details for submission of Registration Fee: -**  
Group General Manager (HQ)  
4<sup>th</sup> floor, Nirman Bhavan, MbPA Building,  
M. P. Road, Mazagaon (E), Mumbai-400010.

**8.3 IPRCL Bank Account Details: -**

Beneficiary Name: - Indian Port Rail & Ropeway Corporation Ltd  
Beneficiary Bank Name: - State Bank of India  
Account Number: - 35289426927  
IFSC Code: - SBIN0000300

8.4 **Security** Amount of **Rs.50,000/-** refundable without interest to be paid which can be adjusted against EMD after empanelment for participating in any bid & will be required to be recouped if adjusted as EMD for successful Tender.

## 9.0 LETTER OF TRANSMITTAL

- 9.1 The applicant should submit the letter of transmittal attached with Pre-Qualification document duly signed by him.
- 9.2 Any effort on the part of the applicant or his agent to exercise influence or to pressurize the employer would result in rejection of his application. Canvassing of any kind is prohibited.

## 10.0 CANCELLATION OF EMPANELMENT

- 10.1 The pre-qualification of contractor shall be cancelled by IPRCL in case of their poor performance, abandoning of the allotted work, and abnormal delay in completion of work, bankruptcy and for activities detrimental to the interest of IPRCL. The decision of IPRCL in this regard shall be final and binding on the contractor.
- 10.2 The empanelment of agencies so selected will be for a period of three years which can be extended further by 2 years (not more than 1 year at a time). The performance of the agencies will, however, be reviewed at the end of every year and in case of unsatisfactory performance, the empanelment is liable to be terminated. The agreement may be terminated by either party by giving not less than one month's notice to the other party.
- 10.3 The contractor / firm shall not effect any change in the constitution of the firm or in its name without the prior approval of IPRCL. Such proposal, if any shall be submitted in advance furnishing full details of the proposed change along with all supporting documents. Any change in the constitution or name of the firm /company without the prior approval of IPRCL will render the contractor/firm liable for removal from the approved list of consultants. The discretion to allow/disallow the proposed change shall vest solely with IPRCL. In case, the original partners/ constitution changes by more than 50% either due to substitution or due to retirement or death the enlistment of the firm/contractor by that name shall be cancelled.
- 10.4 The first list of Empaneled agencies shall be decided from the **last date & time mentioned**. Thereafter, it will be a continuous process & the applications can be submitted throughout the year. Updating shall however be done **once in six months** or as **decided** by **IPRCL**.

## 11.0 Eligibility for enlistment

- 11.1 The status of an applicant for enlistment as a contractor in IPRCL may be one of the following

- (i) An individual, who is a citizen of India
- (ii) Sole proprietorship
- (iii) Partnership firm\*
- (iv) Limited liability partnership\*
- (v) Private limited company\*
- (vi) Public limited company\*

\*To be registered with competent authority

- 11.2 No individual or firm, limited liability partnership, private or public limited company having such individual as one of its partners or directors, who is a dismissed Government servant or demoted to a lower class of enlistment or

debarred from the enlistment or having business banned by any government department or public sector undertaking or local body or autonomous body in the past or convicted by a court of law, shall be entitled for enlistment. In case of debarment of a firm / company, its partners / directors cannot be considered for enlistment after disassociation from firm/ company, till the debarment period is over. However, enlistment may be considered where disciplinary action was taken against the contractor for a specified period and such period is already over. Period of debarment by any department shall be considered as two years if no period is mentioned or the period is more than two years or extant Rules in the matter.

- 11.3 No engineer or any other official employed in engineering or administrative duties in any engineering department of the Government of India/State Government /Central and State PSUs is allowed to work in IPRCL either as a contractor or as an employee of a contractor for a period of one year after his retirement from service unless he has obtained prior permission of his employer Government to do so.
- 11.4 A partner of a firm or a director of a company enlisted as a contractor cannot be a partner or director in any other firm/company in that category in IPRCL.
- 11.5 A contractor is not permitted to have empaneled in more than one name in a category.
- 11.6 The applicant shall submit this entire document duly signed on each page as a sign of acceptance of all these conditions, along with his application for empanelment.
- 11.7 Agencies which are already empaneled with IPRCL need not apply again. They shall be included in the final empaneled list.
- 11.8 Managing Director shall be the final Authority for any issues related to the empanelment and his decision shall be final.
- 12.0 **Experience:** The works shall have been executed either with **any Government** or **reputed Private company**.
- 12.1 **Technical Eligibility Criteria-Contracts of Similar Size and Nature .**

**A. Specific Consultancy Works:**

Executed as consultant, or sub-consultant, one contract with a value of at least INR **0.6V** Lakhs (*where V is the value of consultancy*) that has been successfully or is substantially completed within the **last 07 (seven) years** before the deadline for submission of the bids, and that is similar to the proposed work.

**or**

Executed as consultant, or sub-consultant, Two contracts, each with a value of at least INR **0.35V** Lakhs (*where V is the value of consultancy*) that have been successfully or are substantially completed within the last Seven years before the deadline for the submission of the bids, and that are similar to the proposed work.

Number of Completed works	Consultancy Work (In Crores)			
	Class			
	A	B	C	D
Two	8.75	3.5	1.75	0.7
<b>OR</b>				
One	15	6	3	1.2

- B. Specific Construction Works:** The applicant firm must have successfully completed or substantially completed any one of the following categories of work(s) during **last 07 (seven) years**, ending last day of month previous to the one in which tender is invited:

- C. Three similar works** each costing not less than the amount equal to 40% of advertised value of the tender,  
**or**  
**Two similar works** each costing not less than the amount equal to 50% of advertised value of the tender,  
**or**  
**One similar work** each costing not less than the amount equal to 80% of advertised value of the tender

Number of Completed works	Construction Work (In Crores)				
	Class				
	A	B	C	D	E
Three	200	80	40	20	8
<b>OR</b>					
Two	250	100	50	25	10
<b>OR</b>					
One	400	160	80	40	16
Ongoing (one) at least 80% Progress	200	80	40	20	8

### 12.2 Financial Eligibility Criteria – For Construction works

<b>Historical Financial Performance</b>
Submission of audited balance sheets for the last five financial years (refer note below the table) to demonstrate the current soundness of the Bidders financial position. As a minimum criteria, Profit Before Tax (PBT) should be positive for three financial years out of last five financial years.
<b>Average Annual Construction Turnover:</b>
Average Annual Financial Turnover of the bidders during the last three years ending 31st March of the previous financial year should be at least 30% of the Advt. value of tender  The tenderers shall submit requisite information along with copies of Audited Balance Sheets duly certified by the Chartered Accountant/ Certificate from Chartered Accountant duly supported by Audited Balance Sheet.
<b>Bid Capacity</b>
Bidders who meet the minimum qualification criteria will be qualified only if their available bid capacity is more than the total bid value. The available bid capacity will be calculated as under:  Available bid capacity = A x M x N -B, where  A = Maximum value of engineering (Civil/ Electrical/ Mechanical as relevant to work being procured) works executed in any one year during the last five years (updated at the current price level), taking into account the completed as well as works in progress.

M = Multiplier Factor (1.5 for less than equal to Rs 30 Cr, 2 for more than Rs 30 Cr)

N = Number of years prescribed for completion of the work in question.

B = Value (updated at the current price level) of the existing commitments and ongoing works to be completed in the next 'N' years

Financial Criterion	Construction (In Crore)				
	A	B	C	D	E
Average Annual Turnover for during the three years should be 30% of value of the work.	150	60	30	15	6

NOTE:

1. In the event that the audited accounts for the latest concluded Financial Year are not available, the Bidder shall furnish information pertaining to the last five financial years after ignoring the latest concluded financial year. In case the bidder submits audited financial information for the last six or more years, only the figures for the latest five years shall be considered for .

### 12.3 Eligibility Criteria – Financial for Consultancy works

Submission of audited balance sheets for the last five financial years (refer note below the table) to demonstrate the current soundness of the Bidders financial position. As a minimum criterion, Profit Before Tax (PBT) should be positive for three financial years out of last five financial years

Minimum average annual Consultancy turnover in equivalent **INR 150% V (Lakhs (where V is the estimated cost put to tender)** Calculated as total certified payments received for consultancy contracts in progress or completed, within the last **three** financial years (refer note below the table).

Financial Criterion	Consultancy (In Crore)			
	A	B	C	D
Average Annual Turnover	37.5	15	7.5	3

Note: In the event that the audited accounts for the latest concluded Financial Year are not available, the Bidder shall furnish information pertaining to the last five financial years after ignoring the latest concluded financial year. In case the bidder submits audited financial information for the last six or more years, only the figures for the latest five years shall be considered for evaluation.

## Appendix

**Form 1**

### Covering Letter

**RFE Notification No:**

**Date**

To,  
The Managing Director,  
Indian Port Rail and Ropeway Corporation Limited,  
4<sup>th</sup> Floor, Nirman Bhavan,  
M P Road, Mazgaon (E),  
Mumbai-400010

### Category Applied For:

**Sub:** Empanelment of eligible Consultants / Construction agencies for undertaking various Projects in IPRCL

We, the Consultant /Contractors, in full cognizance of and in complete agreement with the RFE Notification No. IPRCL/Mumbai/General/RFE dated ..... as amended through all subsequently issued Addenda, except as reflected specifically, clause wise under a separate Consultant's / Contractor's Statement titled "Statement of Deviation with the RFE Notification and all subsequently issued Addenda", are, hereby, submitting our RFE Application, through mail, for the Works. In case of no 'Statement of Deviation', being submitted by us, our RFE application shall be deemed to be in strict accordance with/in compliance with the RFE notification notwithstanding anything mentioned to the contrary in our RFE application.

We, the consultant /Contractor's, undertake to aver that

- a) Our RFE Application has been prepared after thorough application of mind and due diligence;
- b) that Our RFE Application is complete in all respect, in terms of RFE Notification.
- c) that We, are, fully aware that We, the, shall be, fully, responsible for any omission or any deficiency in information or documentation.
- d) that We, shall desist from submitting, after formally submitting our RFE Application, any information or any document unless specifically asked by the Client.

We, undertake, to observe, at all times, the Indian laws against fraud and corruption, including bribery, in force at the time of RFE Application submission.

We, unequivocally, convey that We have not been Blacklisted/Debarred/sanctioned by any Union Govt. / State Govt./ Ministry of Railways/MoPSW/ any multi-lateral Funding Agency at the time of submission of this RFE. Further, We are not ineligible for this RFE, under Indian Laws.

We hereby declare that all the information, statements & Documents made in this RFE application is correct and authentic. We, the Consultant/Contractors are fully responsible for the correctness of the information and documents, submitted by us and we accept that any misinterpretation or misrepresentation contained in this

*Proposal may lead to our disqualification, during Client Evaluation of the RFE Applications.*

*We understand that if the certificates regarding empanelment criteria submitted by us are found to be forged/false or incorrect any time after empanelment that our empanelment shall be annulled/cancelled.*

*In addition, we, also, understand that in case of the certificates submitted by us, being found to be false/forged or incorrect at any time after the empanelment it will lead to blacklisting/banning of business with our Firm for a period up to five years.*

Name.....

In the Capacity of .....

Signed.....

Duly authorized to sign the RFE for and on behalf of.....

**Applicant Company's Information Sheet**

To establish its qualifications to perform the contract in accordance with Qualification Criteria the Firm shall provide the information requested in the corresponding Information Sheets included hereunder.

Legal name of Firm	
Country of constitution	
Year of constitution	
Applicant's legal address in country of constitution	
Jurisdictional GST Assessing Officer's designation, address & email id	
Firms authorized representative (Name, address, telephone numbers, fax numbers, e-mail address)	

FORM-3 FOR <b>CONSTRUCTION</b>	
Clause No & Clause heading	Details of value of work/quantity of activity and References (Folio No.) of Documents through which criteria against each item is being met.
Qualification	
Conflict of Interest	
Share of partners	
Disqualification of Bidder	
Financial Status	
Historical Financial Performance	
Average Annual Construction Turnover	
Net Worth	
Bid Capacity	
Experience	
<b>Specific Construction Experience</b> 1. Contracts of Similar Size and Nature: (a) Single Contract (b) Two Contracts  2. Construction Experience in Key Activities (a) Earth work – if applicable (b) Bridge work – if applicable (c) Track linking- if applicable (d) OHE work- if applicable (e) S&T- if applicable	
Personnel Undertaking submitted	
Equipment Undertaking submitted	
Specialist sub-contractor (undertaking submitted)  1. Track Laying & Linking Works 2. General Electrical works- if applicable 3. SCADA – if applicable 4. TSS – if applicable	

## Form 3

FORM-3 FOR <b>CONSULTANCY</b>		
<b>SN</b>	<b>Clause No &amp; Clause heading</b>	Details of value of work/quantity of activity and References (Folio No.) of Documents through which criteria against each item is being met.
1	Qualification	
2	Conflict of Interest	
3	Share of partners	
4	Disqualification of Consultant	
5	Financial Status	
6	Historical Financial Performance	
	Average Annual Consultancy Turnover	
7	Experience	
	Specific Consultancy Experience	
	(a) Contracts of Similar Size and Nature	
	(I) Single Contract (II) Two Contracts	
8	Personnel Undertaking submitted	
9	Equipment Undertaking submitted	
10	Specialist sub-contractor undertaking submitted	
A	Engagement of Consultant for Detailed Design Engineering of Bridges and Structures and obtaining approval from Proof Consultant	
B	Engagement of Consultant for Geo-Technical Investigation.	
C	Engagement of Consultant for Electrical works	
D	Engagement for Consultant for S&T works	

**Form 4: Financial Situation**

(Each Bidder or each member of a JV must fill in this form separately)

**NAME OF Applicant Company:**

SN	Description	Financial Data for Last 05 Years [INR]				
		Year 1	Year 2	Year 3	Year 4	Year 5
1	Total Assets					Not Applicable
2	Current Assets					
3	Total Liabilities					
4	Current Liabilities					
5	Net Worth [= 1 - 3]					
6	Working Capital [=2 - 4]					
7	Profit Before Tax (PBT)					
8	Profit after tax (PAT)					
9	Average Profit After Tax (PAT) for last Five financial years (= average of [8] for year 1, 2, 3, 4 & 5)					
10	Whether the bidder was at default in repayment of dues to a financial institution or bank or debenture holders at the end the latest financial year for which audited balance sheet is available (Yes/No)					

SEAL AND SIGNATURE OF THE BIDDER

Certified that all figures and facts submitted in this form have been furnished after full consideration of all observations/notes in Auditor's reports.

\_\_\_\_\_  
(Signature of CA/Auditor)

Name of CA/Auditor: \_\_\_\_\_  
Registration No: \_\_\_\_\_

\_\_\_\_\_  
(Seal)

1. **The Applicant Firm** shall **attach copies** of the following original documents with the form:

Copies of the audited balance sheets, including all related notes, and income statements for the last three years, as indicated above, complying with the following conditions.

- All such documents reflect the financial situation of the Bidder or partner to a JV, and not sister or parent companies.
  - Historic financial statements must be audited by a certified accountant.
  - Historic financial statements must be complete, including all notes to the financial statements.
  - Historic financial statements must correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted).
2. Contents of this form should be certified by a **Chartered Accountant/Auditor**.
  3. In the event that the audited accounts for the latest concluded Financial Year are not available, the Bidder shall furnish information pertaining to the last five financial years after ignoring the latest concluded financial year. In case the bidder submits audited financial information for the last six or more years, only the figures for the latest five years shall be considered for evaluation.

**Form 5: Specific Construction Experience**

Fill up one form per contract

<b>Contract of Similar Size and Nature</b>			
Contract No.		Contract Identification	
Award Date		Completion Date	
Role in Contract	<input type="checkbox"/> Contractor <input type="checkbox"/> Subcontractor		
Total Contract Amount	INR		
If partner in a JV or subcontractor, specify participation in total contract amount	Percent of Total:	Amount: INR	
Employer's Name, Current Address and Current Telephone/Fax Number, E-mail			
Description of the similarity in accordance with Criteria 2.3.1 (a) of Section 3			

The bidder shall attach a copy of the Certificate(s) issued by the employer in support of the information being furnished in each form, failing which the claim of the bidder shall be liable to be rejected (in case of experience as a sub-contractor, the employer shall be the owner of the Project who has engaged the main Contractor).

- 1      Successfully Completed - shall mean release of 100% Contractual payments to the Bidding Consultant.
- 2      Substantially completed - shall mean release of 80% contractual payment to

## Form 6

**Event Management**

The following information should be provided in the format below for each Eligible Project for which your firm was legally contracted by the Client stated as a single entity.

1	Assignment Name		
2	Type of Event (National / International)		
3	Name, Contact No. & Email of the Client Representative		
4	Year in which Event Took Place		
5	Location of the event		
6	Contact Value		
7	Narrative Description of the Scope of Work of the assignment		
8	Status of the assignment		

**Note:**

- 1 Successfully Completed - shall mean release of 100% Contractual payments to the Bidding Consultant.
- 2 Substantially completed - shall mean release of 80% contractual payment to the Bidding Consultant.
- 3 The bidder shall attach a copy of the Certificate(s) issued by the employer in support of the information being furnished in each form, failing which the claim of the bidder shall be liable to be rejected (in case of experience as a sub-contractor, the employer shall be the owner of the Project who has engaged the main Contractor).

Form 7

**PAST CONTRACTUAL PERFORMANCE**

(Affidavit on non-judicial stamp paper of Rs 10/- duly attested by Notary/Magistrate)

This is to certify that We, M/s[*Name of the Applicant Firm with detailed address*], in submission of the application for Pre- qualification of Construction Consultants/Firms for Projects in India.

- i) have not made any misleading or false representation in the forms, statements and attachments in proof of the qualification requirements;
- ii) do not have records of poor performance such as abandoning the work, not properly completing the contract, inordinate delays in completion, litigation history or financial failures etc.;
- iii) have never been banned by any Central / State Govt. Departments/Public Sector Undertakings or Enterprises of Central/State Govt. ;
- iv) have submitted all the supporting documents and furnished the relevant details as per the prescribed format.; and
- v) have submitted all the information and the requisite documents with the Bid and further certify that we are fully responsible for the correctness of the information and documents submitted by us.

Signature of Applicant  
With seal

**Note:**

Exceptions of the above, if any, shall be clearly mentioned with details by the applicant for evaluation/consideration if any.

**Form 8**

**LITIGATION HISTORY**  
(ON THE LETTER HEAD OF APPLICANT)

SN	Name of Work	Client	Type of Case (Court Case / Arbitration case)	Date of Registration of Case	Name & Address of Court / Arbitrator	Amount involved	Present Status	Remarks

Signature of Applicant  
With seal

**Note:**

Applicant has to submit the details of last 3 years in respect of Court cases / Arbitration cases.

**LETTER OF TRANSMITTAL**  
(ON THE **LETTER HEAD** OF APPLICANT)

From:

To

The Managing Director  
Indian Port Rail & Ropeway Corporation Ltd.  
4<sup>th</sup> Floor, Nirman Bhawan , M P Road  
Mazagaon East Mumbai- 400 010

**SUB : EMPANELMENT OF ENGINEERING CONSULTANTS/FIRMS.**

Sir,

Having examined the details given in Notice for Pre-qualification and Pre-qualification Application documents for the above work, we hereby submit our qualification and relevant documents.

1. We hereby certify that all the statements made and information supplied in the enclosed form '1' to '8' and accompanying statements are true and correct.
2. We have furnished all information and details necessary for pre-qualification and have no further pertinent information to supply.
3. We have submitted the requisite banker's certificate / performance reports and authorize IPRCL or their representatives to approach individuals, employers, firms and corporations to verify our submittals, competency and general reputation.
4. We hereby confirm that we have read and understood all the stipulations given empanelment shall be final and binding on us.
5. We have submitted the following certificates in support of our meeting the minimum qualifying criteria of completed work(s) specified in RFE for having successfully completed the following works:

**S.NO. NAME OF WORK CERTIFICATE FROM**

- 1.
- 2.
- 3.

Enclosures:  
Date of Submission

(Signature of Applicant)  
(Seal of Applicant)